1994-1995

FORM 730 AND INSTRUCTIONS

STATEMENT OF ECONOMIC INTERESTS FOR DESIGNATED OFFICIALS AND EMPLOYEES

IF YOU ARE AN OFFICIAL OR EMPLOYEE WHOSE POSITION IS DESIGNATED IN A CONFLICT OF INTEREST CODE, USE THE FORM 730 TO FILE:

- ASSUMING OFFICE STATEMENT
- ANNUAL STATEMENT
- LEAVING OFFICE STATEMENT
- INITIAL STATEMENT
- CANDIDATE STATEMENT

Prepared by the
Fair Political Practices Commission, P.O. Box 807, Sacramento, CA 95812
Phone: (916) 322-5662

IMPORTANT

Prohibitions: Gifts and Honoraria

Local Offices:

Effective January 1, 1995, local elected or appointed officeholders (including members of the governing board of a special district), candidates for local offices, and designated employees of local governmental agencies may not accept a gift or gifts aggregating more than $280 in a calendar year from a single source. In addition, these officials may not accept any honorarium. An honorarium is a payment made in consideration for a speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

For detailed information and exceptions, see the Commission's Fact Sheet on Gifts, Honoraria and Travel. The Fact Sheet can be obtained from your filing officer or the Fair Political Practices Commission at (916) 322-5662.

State Offices:

State board and commission members and state agency officials and employees may not accept a gift or gifts aggregating more than $10 in a calendar month from a lobbyist or lobbying firm registered to lobby their agencies.

State board and commission members and state agency designated officials and employees may not accept a gift or gifts aggregating more than $280 in a calendar year from a single source, and may not accept any honorarium, if the member would be required to report the receipt of income or gifts (including honoraria) from that source on his or her statement of economic interests. An honorarium is a payment made in consideration for a speech given, article published, or attendance at any public or private conference, convention, meeting, social event, meal, or like gathering.

This prohibition does not apply to a part-time member of the governing board of a public institution of higher education unless the member is also an elected official.

For detailed information and exceptions, see the Commission's Fact Sheet on Gifts, Honoraria and Travel. The Fact Sheet can be obtained from your filing officer or the Fair Political Practices Commission at (916) 322-5662.

Disqualification

Public officials are, under certain circumstances, required to disqualify themselves from making, participating in, or attempting to influence governmental decisions which will affect any of their financial interests, not just those which they are required to disclose on a statement of economic interests.

For assistance in determining when disqualification is required, please contact the FPPC Legal Division at (916) 322-5901.

Post-Governmental Employment

Certain state agency officials who leave office are subject to restrictions on representing clients or employers before their former agencies.

For assistance concerning restrictions on post-governmental employment, please contact the FPPC Legal Division at (916) 322-5901.

(continued on next page)

Enforcement

The filing officer for Form 730 may impose penalties for statements of economic interests which are filed late. The fine is $10 per day, beginning the day after the filing deadline, up to a maximum of $100. Late filing penalties can be reduced or waived under certain circumstances.

In addition, the Fair Political Practices Commission may initiate investigations with respect to any suspected violation of the Political Reform Act. Other law enforcement agencies (the Attorney General or district attorney) may initiate investigations under certain circumstances. If violations are found, the Commission may initiate administrative enforcement proceedings which could result in the imposition of monetary penalties of up to $2,000 per violation. In lieu of administrative prosecution, a civil action may be brought for negligent or intentional violations by the appropriate civil prosecutor (the Commission, Attorney General or district attorney) where the measure of damages for most violations is the amount or value not properly reported. Persons who violate the conflict of interest disclosure provisions of the Political Reform Act can also be subject to discipline by their agency, including dismissal.

Finally, a knowing or willful violation of any provision of the Political Reform Act is a misdemeanor. Persons convicted of a misdemeanor may be disqualified for four years from the date of the conviction from serving as a lobbyist or running for elective office, in addition to other penalties which may be imposed. The Act also provides for numerous civil penalties, including monetary penalties and damages, and injunctive relief from the courts.

TYPES OF STATEMENTS AND FILING DEADLINES

ASSUMING OFFICE STATEMENT:
(For Newly-Elected and Newly-Appointed Officials ONLY)

Appointed Position Not Subject to Confirmation by the State Senate--File no later than **30 days** after assuming your position. Your assuming office date is the date you are sworn in or otherwise authorized to serve in the position.

Appointed Position Subject to Confirmation by the State Senate--File no later than **30 days** after appointment or nomination, whichever is earlier.

Elected Official--File no later than **30 days** after assuming office. Your assuming office date is the date you are sworn in or otherwise authorized to serve in the position.

- Disclose all reportable investments, interests in real property and business positions of the type described in your disclosure category held on the date you assumed your office or position (Schedules A, B, C-1, C-2 and G). In addition, disclose reportable income of the type described in your disclosure category received during the 12 months prior to the date you assumed your office or position (Schedules D, D-1, E, F, and H-1 through H-3).

Exception:

- An official who is re-elected or re-appointed without a break in service is not required to file an assuming office statement. Instead, you should file the next annual statement.

ANNUAL STATEMENT:

- File at the time prescribed in your agency's conflict of interest code (April 1 for most filers).

- Disclose all reportable investments, interests in real property, business positions held and income received as described in your disclosure category. This statement covers the period January 1, 1994 through December 31, 1994.

Exception:

- If you assumed an office or position between October 1, 1994 and December 31, 1994, and filed an assuming office or initial statement, you are not required to file an annual statement in 1995. Your next statement will be due in 1996. This statement will cover the day after you assumed your office or position through December 31, 1995. This exception does not apply if your annual statement is due after April 1 (e.g., your Form 730 is due June 1, 1995).

LEAVING OFFICE STATEMENT:

- File no later than **30 days** after leaving your office or position.

- Disclose all reportable investments, interests in real property, business positions held and income received as described in your disclosure category. This statement will cover the day after the closing date of your last statement through the date of leaving your office or position.

- If you leave an office or position between January 1 and the filing deadline of your annual statement, you may combine your leaving office statement and your annual statement. The statement is due no later than the filing deadline of your annual statement or 30 days after leaving your office or position, whichever is earlier.

To file a combined leaving office statement and annual statement, check the boxes on the cover page for both types of statements, complete the space indicating the date you left your office or position, and report the interests described in your disclosure category which you held during the period.

The reporting period begins on:
The day after the closing date of your previous annual statement, in most cases this date is January 1; or

If you assumed office during 1994, the period will begin the day after you assumed office.

The reporting period ends on:
The day you left office.

Exception:

- If you leave an office or position and assume another office or position within the same jurisdiction on the same day, you are not required to file leaving office and assuming office statements. Instead, you should file the next annual statement.

(continued on next page)

TYPES OF STATEMENTS

INITIAL STATEMENT:

If your agency has a newly-adopted or amended conflict of interest code--File no later than 30 days after the effective date of the conflict of interest code or amendment, whichever is applicable.

- Disclose all reportable investments, interests in real property and business positions of the type described in your disclosure category held on the date your agency's conflict of interest code became effective or your position was newly designated (Schedules A, B, C-1, C-2 and G). In addition, disclose reportable income of the type described in your disclosure category received during the 12 months prior to the date your agency's conflict of interest code became effective or your position was newly designated (Schedules D, D-1, E, F and H-1 through H-3).

CANDIDATE STATEMENT:

- File no later than the final filing date for your declaration of candidacy (if required by the conflict of interest code of the agency for which you are seeking elective office).

- The conflict of interest code specifies the types of interests you must disclose.

Exception:

- If you have filed an assuming or annual statement for the same jurisdiction within 60 days before filing a declaration of candidacy, you are not required to file a candidate statement.

WHERE TO FILE

All statements, except those filed by State Senate and Assembly staff members, are filed with your own agency, board or commission unless otherwise specified in your agency's conflict of interest code.

State Senate and Assembly staff members file their statements directly with the Fair Political Practices Commission.

IMPORTANT: You must file an originally signed statement of economic interests with each agency, board or commission with which you hold a designated position covered by a conflict of interest code.

INSTRUCTIONS FOR COMPLETING THE COVER PAGE

- Before completing this form, contact your agency, board or commission to obtain a copy of your disclosure category which outlines the types of interests you must disclose.

- Enter your name, mailing address, and daytime telephone number. You may list your home or business address.

- Indicate the name of the agency under whose conflict of interest code you have been designated to file this statement, and your division, unit, board or bureau within that agency, if applicable.

  Expanded Statement: A person holding two or more positions may complete one statement covering the disclosure requirements for each position and file a copy with each agency. Each copy must contain an original signature. Reportable interests for each position must be disclosed. (Contact your filing officer for further information.)

- Check the box(es) to indicate the type of statement being filed. If filing an assuming office statement, a leaving office statement, or an initial statement, enter the appropriate date.

- Complete the verification by entering the date and place signed, and sign the statement. **An unsigned statement is considered an unfiled statement and you may be subject to late filing penalties.**

- Statements must be completed in ink or typed. Statements completed in pencil are not acceptable.

- Faxed statements: A statement containing 30 pages or less may be faxed by the deadline. However, the original statement must be sent by first-class mail or guaranteed overnight delivery service within 24 hours of the deadline.

FORM 730

NAME _____

The following summary must be completed by all filers. Before completing this summary page, review your disclosure category, contained in your agency's conflict of interest code, to determine what types of interests must be reported. Instructions are also provided for each schedule. If a schedule does not apply to your disclosure category, check the box "No Reportable Interests."

	SCHEDULE COMPLETED AND ATTACHED	NO REPORTABLE INTERESTS
Schedule A - INVESTMENTS *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule B - INTERESTS IN REAL PROPERTY *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule C-1 - INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule C-2 - INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule D - INCOME *(Other Than Loans and Gifts)*	☐	☐
Schedule D-1 - INCOME -- TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS	☐	☐
Schedule E - INCOME -- LOANS *(Received Or Outstanding During The Reporting Period)*	☐	☐
Schedule F - INCOME -- GIFTS	☐	☐
Schedule G - BUSINESS POSITIONS	☐	☐
Schedule H-1 - COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS	☐	☐
Schedule H-2 - INCOME AND LOANS TO A BUSINESS ENTITY OR TRUST	☐	☐
Schedule H-3 - INCOME FROM RENTAL PROPERTY	☐	☐

AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE RECYCLE THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.

INFORMATION FOR COMPLETING SCHEDULE A - Investments

(*Not* Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating $1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please refer to Schedules C-1, C-2 and H-2.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule A because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

The most common types of investments are:

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

You are not required to disclose the following investments:

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at $250,000, which you did not acquire or dispose of during the reporting period.

NAME OF BUSINESS ENTITY **ABC Land Developers**		VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☒ Over $100,000
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST **Partnership**	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☒ 10% or greater
GENERAL DESCRIPTION OF BUSINESS ACTIVITY **Land Developer**		

FORM 730

NAME _____

The following summary must be completed by all filers. Before completing this summary page, review your disclosure category, contained in your agency's conflict of interest code, to determine what types of interests must be reported. Instructions are also provided for each schedule. If a schedule does not apply to your disclosure category, check the box "No Reportable Interests."

	SCHEDULE COMPLETED AND ATTACHED	NO REPORTABLE INTERESTS
Schedule A - INVESTMENTS *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule B - INTERESTS IN REAL PROPERTY *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule C-1 - INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule C-2 - INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule D - INCOME *(Other Than Loans and Gifts)*	☐	☐
Schedule D-1 - INCOME -- TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS	☐	☐
Schedule E - INCOME -- LOANS *(Received Or Outstanding During The Reporting Period)*	☐	☐
Schedule F - INCOME -- GIFTS	☐	☐
Schedule G - BUSINESS POSITIONS	☐	☐
Schedule H-1 - COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS	☐	☐
Schedule H-2 - INCOME AND LOANS TO A BUSINESS ENTITY OR TRUST	☐	☐
Schedule H-3 - INCOME FROM RENTAL PROPERTY	☐	☐

AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE RECYCLE THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.

INFORMATION FOR COMPLETING SCHEDULE A - Investments

(*Not* Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating $1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please refer to Schedules C-1, C-2 and H-2.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule A because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

The most common types of investments are:

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

You are not required to disclose the following investments:

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at $250,000, which you did not acquire or dispose of during the reporting period.

NAME OF BUSINESS ENTITY		VALUE
ABC Land Developers		☐ $1,000-$10,000 ☐ $10,001-$100,000 ☒ Over $100,000
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST Partnership	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: ____ Date Disposed: ____	Ownership Interest ☐ Less than 10% ☒ 10% or greater
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Land Developer		

The following summary must be completed by all filers. Before completing this summary page, review your disclosure category, contained in your agency's conflict of interest code, to determine what types of interests must be reported. Instructions are also provided for each schedule. If a schedule does not apply to your disclosure category, check the box "No Reportable Interests."

	SCHEDULE COMPLETED AND ATTACHED	NO REPORTABLE INTERESTS
Schedule A - INVESTMENTS *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule B - INTERESTS IN REAL PROPERTY *(Not Held By A Business Entity Or Trust)*	☐	☐
Schedule C-1 - INTERESTS IN REAL PROPERTY HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule C-2 - INVESTMENTS HELD BY A BUSINESS ENTITY OR TRUST	☐	☐
Schedule D - INCOME *(Other Than Loans and Gifts)*	☐	☐
Schedule D-1 - INCOME -- TRAVEL PAYMENTS, ADVANCES, REIMBURSEMENTS	☐	☐
Schedule E - INCOME -- LOANS *(Received Or Outstanding During The Reporting Period)*	☐	☐
Schedule F - INCOME -- GIFTS	☐	☐
Schedule G - BUSINESS POSITIONS	☐	☐
Schedule H-1 - COMMISSION INCOME RECEIVED BY BROKERS, AGENTS AND SALESPERSONS	☐	☐
Schedule H-2 - INCOME AND LOANS TO A BUSINESS ENTITY OR TRUST	☐	☐
Schedule H-3 - INCOME FROM RENTAL PROPERTY	☐	☐

AFTER THE SUMMARY PAGE HAS BEEN COMPLETED, PLEASE RECYCLE THOSE SCHEDULES ON WHICH YOU HAVE NO REPORTABLE INTERESTS.

INFORMATION FOR COMPLETING SCHEDULE A - Investments

(*Not* Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

You must report as an investment any financial interest in any business entity located in or doing business in your jurisdiction in which you or your immediate family (your spouse and your dependent children) had a direct, indirect or beneficial interest aggregating $1,000 or more during the reporting period. In addition, if you had a 10% or greater interest in a business entity, please refer to Schedules C-1, C-2 and H-2.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule A because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

A business entity is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

The most common types of investments are:

- Sole proprietorships
- Partnerships
- Stocks, bonds, warrants and options including those held in margin or brokerage accounts
- Individual Retirement Accounts (IRAs) which are invested in reportable business entities or real property

You are not required to disclose the following investments:

- Bank accounts, savings accounts and money market accounts
- Insurance policies
- Shares in a credit union
- Government bonds
- Diversified mutual funds registered with the Securities and Exchange Commission (SEC)
- Common trust funds created under California Financial Code Section 1564
- Individual Retirement Accounts (IRAs) invested in non-reportable interests such as insurance policies, diversified mutual funds or government bonds

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers, valued at $250,000, which you did not acquire or dispose of during the reporting period.

NAME OF BUSINESS ENTITY		VALUE
ABC Land Developers		☐ $1,000-$10,000 ☐ $10,001-$100,000 ☒ Over $100,000
NATURE OF INVESTMENT, E.G. COMMON STOCK, PARTNERSHIP INTEREST Partnership	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☒ 10% or greater
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Land Developer		

NAME _____

Schedule A - Investments
(Not Held By A Business Entity Or Trust)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF BUSINESS ENTITY		VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☐ 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☐ 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year : Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☐ 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		
NAME OF BUSINESS ENTITY		VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP INTEREST	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	Ownership Interest ☐ Less than 10% ☐ 10% or greater*
GENERAL DESCRIPTION OF BUSINESS ACTIVITY		

NOTE: YOU MAY BE REQUIRED TO REPORT ON SCHEDULE D ANY SALARY, COMMISSION, DISTRIBUTION OR OTHER INCOME ($250 OR MORE) RECEIVED BY YOU OR YOUR SPOUSE FROM ANY BUSINESS ENTITY LISTED ON SCHEDULE A.

*If you have checked this box, you must report on Schedules C-1 and C-2 any interests in real property and investments held by the business entity. In addition, if your pro rata share of the gross income from any one source was $10,000 or more, you may be required to report the name of that source on Schedule H-2.

☐ **If additional space is needed, check box and attach an additional Schedule A.**

INFORMATION FOR COMPLETING SCHEDULE B - Interests In Real Property
(*Not* Held By A Business Entity Or Trust)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INTERESTS YOU MUST DISCLOSE.

You must report interests in real property located in your jurisdiction, in which you or your immediate family had a direct, indirect or beneficial interest aggregating $1,000 or more at any time during the reporting period.

Jurisdiction for reporting interests in real property:

Judges, court commissioners, state officeholders and state agency officials and employees have statewide jurisdiction and must report real property located anywhere within the State of California. An interest in real property is in the jurisdiction of a city or county if it is located in, or within two miles of, the city or county, or within two miles of any land owned or used by the city or county.

Interests in real property include:

- A leasehold interest*
- A beneficial ownership interest
- A deed of trust
- An easement
- An option to acquire any of the above
- An interest in real property held by an IRA in which you have a 10% or greater interest

*An interest in a lease on real property is reportable if the value of the leasehold interest is $1,000 or more. The value of the leasehold interest is the total amount of rent owed by you during the reporting period, or on an assuming office statement, during the prior 12 months. No leasehold interest with a value of less than $1,000 nor a month-to-month tenancy, need be reported. When reporting a leasehold interest, you must include the address or other precise location of the leased property and the date upon which the lease became effective, if this occurred during the reporting period.

You are not required to disclose the following interests in real property:

- Any residence which you utilize exclusively as your personal residence, unless it is also a place of business, e.g., family farm, retail business, or vacation rental, in which case you are required to disclose only the value of that portion of the property which is used in connection with your business activities.
- Interests in real property acquired by a blind trust pursuant to FPPC Regulation 18235.

Reporting interests in rental property and income from rental property

If you or your immediate family have an interest in a rental property directly, i.e., not through a business entity, you must report:

- The property on Schedule B;
- Your share of the total amount of rental income received from the property on Schedule D; and
- If your ownership interest is 10% or greater, the name of any tenant who paid rent of which your pro rata share was $10,000 or more on Schedule H-3.

If you or your immediate family own a 10% or greater interest in a business entity, such as a partnership or joint venture, which owns rental property, do not use this schedule. Report:

- The business entity on Schedule A;
- The property held by the business entity on Schedule C-1;
- Your share of the total amount of income, including rents, received from the business entity on Schedule D;
- The name of any client or customer who provided rental income to the business entity of which your pro rata share was $10,000 or more on Schedule H-2.

EXAMPLE

Assume that:

- You own property located at 14738 Spruce Street, Little Town, which you rent to Sylvan Taylor. The fair market value of your interest in the property is $85,000 and you did not acquire or dispose of the property during the reporting period.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	
14738 Spruce Street	Little Town	FAIR MARKET VALUE ☐ $1,000-$10,000 ☒ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
Ownership		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☒ 10% or greater

NAME _____

Schedule B - Interests In Real Property
(Not Held By A Business Entity Or Trust)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	If Rental Property, Ownership Interest Is	☐ Less than 10% ☐ 10% or greater*

NOTE: **You may be required to report on Schedule D any income ($250 or more) received by you or your spouse from rental property listed on Schedule B.**

*If you have a 10% or greater interest in a rental property, you may have additional reporting requirements on Schedule H-3.

☐ **If additional space is needed, check box and attach an additional Schedule B.**

INFORMATION FOR COMPLETING SCHEDULE C-1 - Interests In Real Property Held By A Business Entity Or Trust

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INTERESTS YOU MUST DISCLOSE.

During the reporting period, did you or your immediate family have a 10% or greater interest in a business entity or in a trust (including a living trust)?

NO -- You are not required to complete Schedule C-1.

Reporting Real Property Held By A Business Entity:

YES -- You must report interests in real property held by the business entity if your pro rata share of an interest in real property is $1,000 or more and the real property is located in your jurisdiction.

You also must report the business entity holding the interests in real property on Schedule A.

Reporting Real Property Held By A Trust (Including a Living Trust):

YES -- You must report interests in real property held by the trust if your pro rata share of an interest in real property is $1,000 or more and the real property is located in your jurisdiction. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. *A maker and:*
   a. can revoke or terminate the trust; or
   b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
   c. have retained any power of appointment including the power to change the beneficiaries.
2. *A beneficiary and:*
   a. presently receive income; or
   b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

EXAMPLE

Assume that:

- You have a 50% partnership interest in ABC Land Developers worth $250,000.
- ABC Land Developers owns real property worth $300,000 located within your jurisdiction. The real property was not acquired or disposed of during the reporting period.

You must report:

- Your 50% interest in ABC Land Developers on Schedule A. The real property held by ABC Land Developers must be reported on Schedule C-1 as follows:

Name Of Business Entity Or Trust Holding The Real Property: **ABC Land Developers**

Your investment in this business entity must be reported on Schedule A-Investments.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE
AP 029 589202	**Little Town**	☐ $1,000-$10,000 ☐ $10,001-$100,000 ☒ Over $100,000
NATURE OF INTEREST, E.G., OPTION, OWNERSHIP, LEASEHOLD, DEED OF TRUST: **Partnership**		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

NAME _____

Schedule C-1 - Interests In Real Property Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

Name Of Business Entity Or Trust Holding The Real Property: _____

Your investment in this business entity must be reported on Schedule A-Investments.

STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION,OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION,OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION,OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION,OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	
STREET ADDRESS OR PRECISE LOCATION OF PROPERTY	CITY	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
NATURE OF INTEREST, E.G., OPTION,OWNERSHIP, LEASEHOLD, DEED OF TRUST		
If Acquired Or Disposed During The Reporting Period You Must Indicate Month, Day And Year:	Date Acquired: _____ Date Disposed: _____	

☐ **If additional space is needed, check box and attach an additional Schedule C-1.**

INFORMATION FOR COMPLETING SCHEDULE C-2 - Investments Held By A Business Entity Or Trust

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INVESTMENTS YOU MUST DISCLOSE.

During the reporting period, did you or your immediate family have a 10% or greater interest in a business entity or in a trust (including a living trust)?

NO -- You are not required to complete Schedule C-2.

Reporting Investments Held By A Business Entity:

YES -- You must report investments held by the business entity if your pro rata share of the investment is $1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction.

You also must report the business entity holding such investments on Schedule A.

Reporting Investments Held By A Trust (Including a Living Trust):

YES -- You must report investments held by the trust if your pro rata share of the investment is $1,000 or more and the investment is in a business entity located in, or doing business in, your jurisdiction. Pursuant to FPPC Regulation 18234, you have an interest in a trust if you are:

1. *A maker and:*
   a. can revoke or terminate the trust; or
   b. have retained any rights to the income or principal of the trust or retained any reversionary or remainder interest; or
   c. have retained any power of appointment including the power to change the beneficiaries.
2. *A beneficiary and:*
   a. presently receive income; or
   b. have an irrevocable future right to receive income or principal.

If you are only a trustee, you do not have a reportable interest in the trust.

NOTE: Copies of financial reports are not acceptable as attachments to Schedule C-2 because they ordinarily do not contain all of the information required to be disclosed and may include extraneous information.

EXAMPLE

Assume that:

- ABC Land Developers owns common stock worth $3,000 in Ajax Building Materials, which does business in your jurisdiction. The investment was not acquired or disposed of during the reporting period.

You must report:

- Your 50% interest in ABC Land Developers on Schedule A. The common stock held by ABC Land Developers must be reported on Schedule C-2 as follows:

Name Of Business Entity Or Trust
Holding The Investment(s): ABC Land Developers

Your investment in this business entity must be reported on Schedule A-Investments.

NAME OF BUSINESS ENTITY **Ajax Building Materials**		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP **Common Stock**	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year: Date Acquired: _____ Date Disposed: _____	FAIR MARKET VALUE [X] $1,000-$10,000 [ ] $10,001-$100,000 [ ] Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY **Manufactures Building Supplies**		

NAME _____

Schedule C-2 - Investments Held By A Business Entity Or Trust

(SEE INSTRUCTIONS ON PRECEDING PAGE)

Name Of Business Entity Or Trust Holding The Investment(s): _____

Your investment in this business entity must be reported on Schedule A-Investments.

NAME OF BUSINESS ENTITY		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	
NAME OF BUSINESS ENTITY		
NATURE OF INVESTMENT, E.G., COMMON STOCK, PARTNERSHIP	If Acquired Or Disposed During The Reporting Period, You Must Indicate Month, Day And Year:	FAIR MARKET VALUE ☐ $1,000-$10,000 ☐ $10,001-$100,000 ☐ Over $100,000
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	Date Acquired: _____ Date Disposed: _____	

☐ If additional space is needed, check box and attach an additional Schedule C-2.

INFORMATION FOR COMPLETING SCHEDULE D - Income
(Other Than Loans And Gifts)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

Honoraria Prohibition: Refer to page 1 of the Form 730 instructions for an explanation of the honoraria prohibition imposed on certain state and local designated officials and employees.

You must report your gross income and your community property interest in your spouse's gross income. Gross income is the total amount of income before deducting expenses, losses or taxes. Income aggregating $250 or more received from any source located in or doing business in your jurisdiction must be disclosed. Under the Political Reform Act, reportable income is defined differently than income for tax purposes. Refer to the instructions for Schedule A for assistance in determining whether a source of income is located in or doing business in your jurisdiction.

Reportable income includes:

- Commission income. (See Schedule H-1-Commission Income.)
- Income from a business entity.
- Your pro rata share of the total gross payments received by a business entity (including rental property) or a trust in which you or your spouse had an ownership interest of 10% or more.
- Your community property interest ***(50%)*** in your spouse's income. If your spouse is self-employed, you may be required to report the business entity on Schedule A.
- Proceeds from any sale, including the sale of a house or car. (The total sale price is reportable regardless of any obligation you might have to pay on loans secured by the property.)
- Rental income.
- Prizes or awards not disclosed as gifts.
- Forgiveness of a loan or other indebtedness.
- Loan payments received by you.
- Salary/wages, per diem, reimbursement for expenses. (See exclusions below. Also see Schedule D-1-Income -- Travel Payments, Advances, Reimbursements.)

You are not required to disclose the following types of income:

- Salary or reimbursements for expenses and per diem from a federal, state or local government agency.
- Reimbursement for travel expenses and per diem received from a bona fide educational, academic or charitable organization.
- Campaign contributions.
- A devise or inheritance. ***(However, inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)***
- Dividends, interest or other return on a security which is registered with the Securities and Exchange Commission.
- Payments received under an insurance policy.
- Interest, dividends or premiums on a time or demand deposit in a financial institution, shares in a credit union, an insurance policy, or a bond or other debt instrument issued by a government agency.
- Income of dependent children.
- Alimony or child support payments.
- Payments received under a defined benefit pension plan qualified under Internal Revenue Code Section 401(a).

EXAMPLE

Assume that:

- You received $10,800 in total rental income from your rental property, which is in your jurisdiction.
- Your spouse received $25,000 in salary from General Telephone Company, a communications firm which provides services in your jurisdiction.

NAME OF THE SOURCE OF INCOME Rental Property ADDRESS 14738 Spruce Street, Little Town, CA NATURE OF BUSINESS, IF ANY Rental Property DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED Rents	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☒ Over $10,000
NAME OF THE SOURCE OF INCOME General Telephone Company ADDRESS 567 Main Street, Little Town, CA NATURE OF BUSINESS, IF ANY Telephone Services DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED Spouse's Salary	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☒ Over $10,000

NAME _____

Schedule D - Income
(Other Than Loans And Gifts)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	GROSS INCOME RECEIVED:
NAME OF THE SOURCE OF INCOME ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☐ Over $10,000
NAME OF THE SOURCE OF INCOME ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☐ Over $10,000
NAME OF THE SOURCE OF INCOME ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☐ Over $10,000
NAME OF THE SOURCE OF INCOME ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY DESCRIPTION OF THE CONSIDERATION FOR WHICH INCOME WAS RECEIVED	☐ $250 - $1,000 ☐ $1,001 - $10,000 ☐ Over $10,000

☐ **If additional space is needed, check box and attach an additional Schedule D.**

INFORMATION FOR COMPLETING SCHEDULE D-1 - Income -- Travel Payments, Advances, Reimbursements

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

You must report payments, advances, or reimbursements for travel, including actual transportation and related lodging and subsistence.

In addition to disclosing the source, date, amount, and purpose of the payment, check the box to indicate if the payment was a gift or income. To determine if travel payments are gifts or income:

- Travel payments are gifts if you did not provide services which were equal to or greater in value than the payments received. You must disclose gifts aggregating $50 or more from a single source during the period covered by the statement.
- Travel payments are income if you provided services which were equal to or greater in value than the payments received. You must disclose income aggregating $250 or more from a single source during the period covered by the statement.

Gov. Code Section 82028 provides that any person, other than a defendant in a criminal action, who claims that a payment is not a gift by reason of receipt of consideration has the burden of proving that the consideration received is of equal or greater value.

You are not required to disclose on Schedule D-1 the following types of travel payments:

- Travel payments received from your employer in the normal course of your employment, or from any state, local, or federal government agency for which you provided equal or greater consideration for the payments received.
- Payment or reimbursement for transportation within California in connection with an event at which you gave a speech, participated in a panel or seminar or performed a similar service.
- Food, beverages, and necessary accommodations received directly in connection with an event held inside or outside California at which you gave a speech, participated in a panel, or provided a similar service.
- Travel payments received from a bona fide educational, academic, or charitable organization for which you provided equal or greater consideration for the payments received.

IMPORTANT: When reporting travel, you should be specific in disclosing the purpose of your travel. Certain travel payments are reportable gifts, but are not subject to the gift limits. Failure to specify the purpose of your travel may result in misinterpretation and be perceived as a gift violation. See the Commission's Fact Sheet on Gifts, Honoraria and Travel, which can be obtained from your filing officer or the FPPC at (916) 322-5662.

EXAMPLE

Assume that:

- You attended a trade show in San Francisco, CA. The American Manufacturers Assn. paid $175 for your travel and accommodations.

	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
NAME OF SOURCE: American Manufacturers Assn. ADDRESS: 77 Green Lane, Mudville, CA NATURE OF BUSINESS ACTIVITY, IF ANY: Trade Association TYPE OF PAYMENT: (CHECK ONE) [X] GIFT [ ] INCOME	5/15/94	$175	Transportation and Accommodations to Attend Trade Show San Francisco, CA

NAME _____

Schedule D-1 - Income -- Travel Payments, Advances, Reimbursements

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S): (mo, day & yr)	AMOUNT(S):	PURPOSE AND LOCATION OF TRAVEL:
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY TYPE OF PAYMENT: (CHECK ONE) ☐ GIFT ☐ INCOME			

☐ **If additional space is needed, check box and attach an additional Schedule D-1.**

INFORMATION FOR COMPLETING SCHEDULE E - Income -- Loans
(Received Or Outstanding During The Reporting Period)

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

You must report loans, including real estate loans and margin accounts, received by you or your spouse aggregating $250 or more from a single source which is located in, or doing business in, your jurisdiction. All reportable loans received or outstanding during the reporting period must be disclosed. If you have received more than one loan from a single source, you must aggregate the amounts to determine if you have received $250 or more from a single source. Report each loan separately. A forgiven loan is a gift and must be reported on Schedule F-Gifts.

A source of income is located in or doing business in the jurisdiction if it, a parent or subsidiary, or a related business entity:

- Manufactures, distributes, sells or purchases products or services on a regular basis in the jurisdiction.
- Plans to do business in the jurisdiction.
- Has done business in the jurisdiction within the previous two years.
- Has an interest in real property in the jurisdiction.
- Has an office in the jurisdiction.

You are not required to disclose the following loans:

- Any loan from a commercial lending institution used to purchase, refinance the purchase of, or for improvements to, your principal place of residence if the loan was made in the lender's regular course of business on terms available to members of the public without regard to official status.
- Loans from a commercial lending institution in the normal course of business which, in the aggregate, did not exceed $10,000 at any time during the reporting period.
- Any indebtedness created as part of a retail installment or credit card transaction made in the creditor's regular course of business on terms available to the public without regard to official status if the aggregate amount owed to the creditor did not exceed $10,000 at any time during the reporting period.
- Any loan from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin or the spouse of any such person, except that a loan from any such person is reportable if the person is acting as an intermediary or agent for any person not covered by this provision.

EXAMPLE

Assume that:

- You received an equity loan in the amount of $15,000 from Greatest Savings and Loan on your principal place of residence to consolidate your bills and to take a vacation.
- You received a personal loan from a friend, who resides in the jurisdiction, in the amount of $1,500.

You must report:

- The equity loan on your principal place of residence because the loan amount was over $10,000 and the money was not used to refinance or improve your principal residence.
- The loan from your friend.

		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:
NAME OF LENDER **Greatest Savings & Loan**		☐ $250 - $1,000
ADDRESS **123 Main Street, Little Town**		☐ $1,001 - $10,000
NATURE OF BUSINESS, IF ANY **Lending Institution**	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☒ NO	☒ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) **Personal Residence** ☐ NONE		INTEREST RATE **8%** ☐ NONE
NAME OF LENDER **Martin Smith**		☐ $250 - $1,000
ADDRESS **567 A Street, Little Town**		☒ $1,001 - $10,000
NATURE OF BUSINESS, IF ANY **Construction**	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☒ NO	☐ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☒ NONE		INTEREST RATE **7%** ☐ NONE

NAME _____

Schedule E - Income -- Loans
(Received or Outstanding During the Reporting Period)

(SEE INSTRUCTIONS ON PRECEDING PAGE)

		AMOUNT OF HIGHEST BALANCE DURING THE REPORTING PERIOD:
NAME OF LENDER		☐ $250 - $1,000
ADDRESS		☐ $1,001 - $10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☐ NO	☐ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		INTEREST RATE ☐ NONE
NAME OF LENDER		☐ $250 - $1,000
ADDRESS		☐ $1,001 - $10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☐ NO	☐ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		INTEREST RATE ☐ NONE
NAME OF LENDER		☐ $250 - $1,000
ADDRESS		☐ $1,001 - $10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☐ NO	☐ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		INTEREST RATE ☐ NONE
NAME OF LENDER		☐ $250 - $1,000
ADDRESS		☐ $1,001 - $10,000
NATURE OF BUSINESS ACTIVITY, IF ANY	WAS THE ENTIRE LOAN REPAID DURING THE REPORTING PERIOD? ☐ YES ☐ NO	☐ Over $10,000
SPECIFIC SECURITY FOR LOAN INCLUDING GUARANTOR (If Real Property, Provide Address) ☐ NONE		INTEREST RATE ☐ NONE

☐ **If additional space is needed, check box and attach an additional Schedule E.**

INFORMATION FOR COMPLETING SCHEDULE F - Income -- Gifts

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

Gift Limit and Honoraria Prohibition: Refer to page 1 of the Form 730 instructions for an explanation of the honoraria prohibition and gift limit imposed on certain state and local designated officials and employees.

"Gift" means anything of value, whether tangible or intangible, for which equal or greater value is not provided. You must disclose gifts with an aggregate value of $50 or more received during the reporting period from a single source covered by your disclosure category. **Gifts are reportable without regard to where the donor is located.** Any number of gifts from one person, the values of which add up to $50 or more during the reporting period, must be disclosed.

It is the acceptance of a gift, and not the ultimate use to which it is put, that imposes your reporting obligation. Therefore, except as noted below, you must report a gift even if you never make use of it or if you give it away to another person.

If the exact amount of a gift is not known, you must make a good faith estimate of the item's fair market value. Listing the value of the gift as "over $50" or "value unknown" is not adequate disclosure. In addition, if you receive a gift through an intermediary, you must disclose the name, address and business activity of both the donor and the intermediary.

In most cases, the full amount of a gift made to you and your spouse must be counted to determine if the gift must be disclosed. However, for wedding gifts, only one-half of the value of each gift is attributable to you, unless the gift is intended exclusively for your use and enjoyment.

You are <u>not</u> required to disclose the following types of gifts:

- Gifts which are not used and which, within 30 days after receipt, are returned to the donor or delivered to a charitable organization without being claimed as a charitable contribution for tax purposes.
- Gifts from your spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, or first cousin or the spouse of any such person, provided that a gift from any such person must be disclosed if the person is acting as an agent or intermediary for a reportable source of income.
- Gifts of hospitality involving food, drink or occasional lodging provided in an individual's home.
- Gifts equal in value exchanged between you and an individual, other than a lobbyist, on holidays, birthdays, or similar occasions.
- Gifts of informational material provided to assist you in the performance of your official duties, such as books, pamphlets, reports, calendars or periodicals.
- A bequest or inheritance. **(However, an inheritance of an investment or an interest in real property may be required to be reported on Schedule A or B.)**
- Personalized plaques and trophies with an individual value of less than $250.
- Campaign contributions.
- Food, beverage, and necessary accommodations received directly in connection with an event at which you gave a speech, participated in a panel, or provided a similar service. However, any extra benefits (such as an extra night's lodging) which were not provided directly in connection with your participation in the event must be reported as a gift.
- Tickets to a fundraising event for a 501(c)(3) organization.
- Tickets to a political fundraiser.
- A pass or ticket which provides a <u>one time</u> admission to an event (theater performance, sporting event) that is not used and is not transferred to another person. Commission Regulation 18946.1 provides a method for determining the value of a ticket or pass that is used or transferred to another person and for determining the value of a pass or tickets which provide repeated admission to facilities or services.
- Gifts provided directly to members of your immediate family unless you receive direct benefit from the gift or you exercise discretion and control over the use or disposition of the gift.

EXAMPLE

Assume that:

- You received 2 tickets to a professional baseball game from Valley Pipe Systems.

NAME OF DONOR	DATE(S) RECEIVED: (mo, day & yr)	VALUE(S):	DESCRIPTION OF GIFT(S):
Valley Pipe Systems	**March 1, 1994**	**$50**	**Tickets to Hometown A's Baseball Game**
ADDRESS **200 E. Fifth, Hometown**			
NATURE OF BUSINESS, IF ANY **Manufactures Irrigation & Plumbing Supplies**			

NAME _____

Schedule F - Income -- Gifts

(SEE INSTRUCTIONS ON PRECEDING PAGE)

	DATE(S) RECEIVED: (mo, day & yr)	VALUE(S):	DESCRIPTION OF GIFT(S):
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY			
NAME OF SOURCE ADDRESS NATURE OF BUSINESS ACTIVITY, IF ANY			

☐ If additional space is needed, check box and attach an additional Schedule F.

INFORMATION FOR COMPLETING SCHEDULE G - Business Positions

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF POSITIONS YOU MUST DISCLOSE.

You must report the name of any business entity covered by your disclosure category for which you are a director, officer, partner, trustee, employee, or hold any position of management.

Positions held in non-profit or charitable organizations or campaign committees are not reportable.

EXAMPLE

Assume that:

- Your disclosure category requires you to disclose business positions in entities which are contractors, land developers, or manufacturers of equipment used by your agency. You have been a partner in ABC Land Developers during the entire reporting period.

- You would report that position as follows:

NAME OF BUSINESS ENTITY	ADDRESS OF ENTITY
ABC Land Developers	5744 Tenth Street, Little Town
NATURE OF BUSINESS ACTIVITY, IF ANY	**YOUR JOB TITLE OR POSITION**
Land Developer	Partner
POSITION HELD THROUGH ENTIRE REPORTING PERIOD?	**IF NO PLEASE INDICATE:** POSITION COMMENCED ON _____ POSITION TERMINATED ON _____
☒ YES ☐ NO	

Schedule G -- Business Positions

(SEE INSTRUCTIONS ON PRECEDING PAGE)

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

NAME OF ENTITY	ADDRESS OF ENTITY
DESCRIPTION OF BUSINESS ACTIVITY	YOUR JOB TITLE OR POSITION
POSITION HELD THROUGH ENTIRE REPORTING PERIOD? ☐ YES ☐ NO	IF NO PLEASE INDICATE: POSITION COMMENCED ON _____ POSITION TERMINATED ON _____

☐ **If additional space is needed, check box and attach an additional Schedule G.**

INFORMATION FOR COMPLETING SCHEDULE H-1-- Commission Income

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

During the reporting period, did you or your spouse receive "commission income" for services rendered as a broker, agent, or salesperson?

NO -- You are not required to complete Schedule H-1.

YES -- You must provide the name of each individual or entity which:

- Is located in, or doing business in, your jurisdiction; ***and***
- Was a source of commission income, provided that your pro rata share from a single transaction was $10,000 or more.

If you or your spouse received commission income as a broker, agent, or salesperson, you must disclose the names of sources of commission income if your pro rata share of the gross commission income amounts to $10,000 or more from a single transaction. ***No ownership interest in a business entity is necessary to require disclosure or disqualification under this provision.***

"Commission income" means gross payments received as a result of services rendered as a broker, agent, or salesperson. Persons who must report commission income include: insurance brokers or agents, real estate brokers or agents, travel agents or salespersons, stockbrokers, and retail or wholesale salespersons.

The "source" of commission income generally includes all parties to a transaction, and each is attributed the full value of the commission. (See example below.)

NOTE: If you have reported yourself or your employer as a source of commission income on Schedule D, you are not required to itemize yourself or your employer as a source of income on Schedule H-1.

EXAMPLE

Assume that:

- You are an agent for Smith and Jones Insurance Company. You sold a Businessmen's Insurance Company policy to XYZ Company. You received commission income of $15,000 from the transaction.
- Your spouse is a real estate broker for Goldwater Realty and received a commission of $20,000 from the Grand Company.

You must report:

- Both XYZ Company and Businessmen's Insurance Company as sources of commission income because each was a source of $10,000 or more. Smith and Jones is disclosed on Schedule D as a source of commission income, and on Schedule H-1 as the business entity through which you received the income.
- Grand Company, your spouse's client, because your community property share (50%) of the gross receipts amounted to $10,000 and would meet the reporting threshold. You would also report your community property share of your spouse's income from Goldwater Realty on Schedule D as a source of income.

NAME OF BUSINESS ENTITY **Smith and Jones Insurance Company**
NAMES OF SOURCES OF INCOME **XYZ Company**
Businessmen's Insurance Company
NAME OF BUSINESS ENTITY **Goldwater Realty**
NAMES OF SOURCES OF INCOME **Grand Company**

INFORMATION FOR COMPLETING SCHEDULE H-3 -- Income From Rental Property

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any rental property, you must provide the name of each individual or entity which made rent payments, provided that your pro rata share of the receipts was $10,000 or more.

IMPORTANT: The requirement to report sources of rental income is determined by the location of the source of income--not by the location of the rental property.

If the rental property in which you have a 10% or greater interest is located in your jurisdiction, it must also be reported on Schedule B. Your pro rata share of the gross rental income from the property must also be reported on Schedule D.

EXAMPLE

Assume that:

- You own a home in your jurisdiction from which rental income was received from a single tenant in the amount of $10,800.
- You own rental property which is located in Sierra Springs, which is not in your jurisdiction. Your rental tenant owns J.R. Car Dealership which is located in your jurisdiction.

You must report:

- The name of the rental tenant who paid rent in the amount of $10,000 or more to rent the property.
- The name of the rental tenant (John Roberts) who paid rent in the amount of $10,000 or more.

ADDRESS OF RENTAL PROPERTY	CITY
14738 Spruce Street	Little Town
NAMES OF RENTERS	
Sylvan Taylor	
ADDRESS OF RENTAL PROPERTY	CITY
15255 Maple Street	Sierra Springs
NAMES OF RENTERS	
John Roberts	

NAME _____

Schedule H

(SEE INSTRUCTIONS ON PRECEDING PAGES)

Schedule H-1
Commission Income Received By Brokers, Agents And Salespersons

NAME OF BUSINESS ENTITY	
NAMES OF SOURCES OF INCOME	

Schedule H-2
Income And Loans To A Business Entity Or Trust

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
NATURE OF BUSINESS	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST	

Schedule H-3
Income From Rental Property

You must disclose the name(s) of any renter(s) who made rent payments, provided that your pro rata share of such receipts from any one renter was **$10,000** or more.

ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	
ADDRESS OF RENTAL PROPERTY	CITY
NAMES OF RENTERS	

☐ If additional space is needed, check box and attach additional schedules.

INFORMATION FOR COMPLETING SCHEDULE H-2--
Income And Loans To A Business Entity Or Trust

BEFORE COMPLETING THIS SCHEDULE, CONSULT YOUR AGENCY'S CONFLICT OF INTEREST CODE TO DETERMINE THE TYPES OF INCOME YOU MUST DISCLOSE.

If, during the reporting period, you and your spouse owned an aggregate interest of 10% or more in any business entity or a trust, you must provide the name of each individual or entity which:

- Is located in, or is doing business in, your jurisdiction; and
- Was a source of income to the business entity or trust, provided that your pro rata share of such payments or receipts was $10,000 or more. Income includes, but is not limited to, payments received for legal or other services, rental income, loans received or outstanding, forgiveness or payment of indebtedness, and retail sales.

IMPORTANT: The requirement to report sources of income to a business entity or trust is determined by the location of the source of the loan or income--not by the location of the business entity or trust which received the loan or income.

If the business entity in which you have a 10% or greater interest is located in or doing business in your jurisdiction, it must also be reported on Schedule A and you may be required to complete Schedules C-1 and C-2. Your pro rata share of the gross income to the business entity must be reported on Schedule D.

FPPC Regulation 18740 provides a procedure for obtaining an exemption from the disclosure requirement of clients' names which are legally recognized as privileged under California law. You may obtain a copy of Regulation 18740 by contacting the Fair Political Practices Commission.

NOTE: If your pro rata share of income or a loan to a business entity or trust is $250 or more, you may be required to disqualify yourself from decisions affecting that source of income or loan, even though you are not required to report it.

EXAMPLE

Assume that:

- During the reporting period, ABC Land Developers, in which you have a 50% partnership interest, received a loan from the Little Town Bank in the amount of $30,000. The bank has a branch which is located in your jurisdiction.
- Your spouse owns 100% of a law firm. A client paid your spouse $20,000 during the reporting period. This client is doing business in your jurisdiction.

You must report:

- The loan to ABC Land Developers from the Little Town Bank because your pro rata share (50%) of the $30,000 loan is $15,000 and meets the $10,000 reporting threshold.
- Your spouse's law firm and its client because your pro rata share (50%) of the $20,000 paid to the law firm is $10,000 and meets the reporting threshold.

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
ABC Land Developers	**5744 Tenth Street, Little Town**
NATURE OF BUSINESS, IF ANY **Land Developer**	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST **Little Town Bank**	

NAME OF BUSINESS ENTITY OR TRUST	ADDRESS OF BUSINESS ENTITY OR TRUST
Smith and Jones	**1261 High Street, Little Town**
NATURE OF BUSINESS, IF ANY **Law Firm**	
NAMES OF SOURCES OF INCOME AND LOANS TO THE BUSINESS ENTITY OR TRUST **Joseph Brown and Associates**	